IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Shaughnessy, John D.

ART UNIT:

February 2, 2001 FILED:

§

§

SERIAL NO.: 09/778,971

**EXAMINER:** 

FOR: Evi27 Gene Sequence and Protein

Thereby Encoded

DOCKET: D6138

Assistant Commissioner of Patents **BOX NON-FEE AMENDMENT** Washington, D.C. 20231

## COMPLIANCE OF REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Dear Sir:

Applicant provides a computer readable form of the Sequence Listing on the enclosed 3.5 inch disk and a paper copy thereof for the above-referenced application. The disk is a 1.44 Mb IBM-formatted 'disk. The file is stored as D6138/SEQ in text format. I hereby state that the content of the paper copy of the Sequence Listing filed as part of the above-captioned application and the enclosed computer readable copy of the Sequence Listing are the same.

Respectfully submitted,

**ADLER & ASSOCIATES** 8011 Candle Lane Houston, Texas 77071 (713) 270-5391

BADLER1@houston.rr.com

aron Adler, Ph.D., J.D.

Registration No. 35,423 Counsel for Applicant



IN THE UNITED STATES PATENT AND TRADEMARK

APPLICANT: Shaughnessy, John D. § ART UNIT:

FILED: February 2, 2001

**SERIAL NO.: 09/778,971** § **EXAMINER:** 

FOR: Evi27 Gene Sequence and Protein

Encoded Thereby § DOCKET: D6138

The Assistant Commissioner of Patents and Trademarks BOX NON-FEE AMENDMENT

Washington, DC 20231

## CERTIFICATE OF MAILING UNDER 37 CFR 1.8

Dear Sir:

I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to Commissioner of Patents and Trademarks, Washington DC 20231.

- (1) Sequence Listing, Compliance Statement and Computer Disk;
- (2) Response and Amendment; and

(3) Return postcard.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Note mo.

ADLER & ASSOCIATES

8011 Candle Lane

Houston, Texas 77071

(713) 270-5391

BADLER1@houston.rr.com

Respectfully submitted,

Benjamin Aaron Adler, Ph.D., J.D.

Counsel for Applicant Registration No. 35,423



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.usdfo.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/778,971

02/02/2001

John D. Shaughnessy

D6138

**CONFIRMATION NO. 3345** 

FORMALITIES LETTER

\*OC000000006812897\*

Benjamin Aaron Adler ADLER & ASSOCIATES 8011 Candle Lane Houston, TX 77071

Date Mailed: 09/28/2001

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE